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IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : January 28, 2002  
D. Bruton, et al : IBM Corporation  
Filing Date: 01/28/2002 : Dept.T81/Bldg. 503-3  
Serial No. Not Assigned Yet : P.O. Box 12195  
For: Intrusion Event Filtering and Generic Attack Signatures : Research Triangle Park,  
: North Carolina 27709

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CFR 1.56 and 37 C.F.R. 1.97(e)(1).

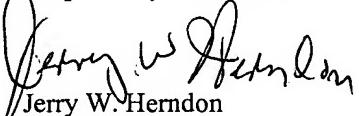
While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

  
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Docket No. RSW920020011US1

PTO 1058689  
 JC874 U.S.  
 01/28/02



FORM PTO-1449 (Modified)		ATTY. DOCKET NO.	SERIAL NO.
		RSW920020011US1	Not Assigned Yet
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT		APPLICANT: D. Bruton, et al	
(Use several sheets if necessary)		FILING DATE:	GROUP:
		01/28/2002	

**REFERENCE DESIGNATION                    U.S. PATENT DOCUMENTS**

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AL							
	AM							
	AN							

**OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)**

AR	IBM Systems Journal, Vol. 40, No. 3, 2001, "Security on Z/OS: Comprehensive, Current and Flexible", by R. Guski, et al, pages 696 - 720.
	IBM Share Session 3411, February 27, 2001, "Introduction to Using IBM Communications Server for OS/390 TCP/IP Network Security Features", by Lin Overby, 37 pages.
AS	

EXAMINER	DATE CONSIDERED

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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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